Department of Commerce Professional Licensing Bureau

# BEFORE THE IOWA REAL ESTATE COMMISSION 200 EAST GRAND, SUITE 350 DES MOINES, IOWA 50309



IN RE:	)	CASE NUMBER: 20-172
Valerie A. Hageman	)	3.333.3
Salesperson (S67192000)	)	COMBINED STATEMENT OF
INACTIVE	)	CHARGES, INFORMAL
	)	SETTLEMENT AGREEMENT,
1737 E Lacona Ave	)	AND CONSENT ORDER IN A
Des Moines, IA 50320	)	DISCIPLINARY CASE
	)	
RESPONDENT	)	

The Iowa Real Estate Commission (Commission) and Valerie A. Hageman (Respondent) enter into this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order in a Disciplinary Case (Order) pursuant to Iowa Code Sections 17A.10(1) and 272C.3(4) (2022).

- 1. The Commission issued the Respondent real estate salesperson license number S67192000 on March 4, 2019. Respondent's license is current and in full force and effect through December 31, 2024. At all times relevant to this matter, the Respondent was a licensed real estate salesperson assigned to BG Brokerage, LLC, a licensed real estate firm, license number F06130000, located in Des Moines, lowa. The Respondent's license was placed on inactive status on December 16, 2021.
- 2. The Commission has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543B (2020). Licenses issued by the Commission are subject to the laws of the State of Iowa and to the administrative rules of the Commission.

### STATEMENT OF CHARGES

### COUNTI

3. Respondent is charged with engaging in practices harmful or detrimental to the public in violation of Iowa Code sections 543B.29(1)(d), 543B.34(1), 543B.34(1)(f), 543B.62(3)(b) (2020) by conducting real estate business (property management) independently outside of the licensed broker or firm she is assigned to. *See* 193E Iowa Administrative Code sections 4.1(3), 7.1(8), 7.11(1), 15.1, 18.14(5)(n), 18.14(5)(s).

#### COUNT II

4. Respondent is charged with engaging in practices harmful or detrimental to the public in violation of Iowa Code sections 543B.5(20), 543B.29(1)(d), 543B.34(1)(e) (2020) by accepting a commission or valuable consideration as a salesperson for the performance of property management duties from a person that is not the salesperson's employing real estate broker. See 193E Iowa Administrative Code sections 4.1(3), 15.1, 18.2(1), 18.14(5)(n), 18.14(5)(s).

### **COUNT III**

5. Respondent is charged with failing to keep her employing broker fully informed of all activities being conducted on behalf of the broker and any other activities that might have an impact on the broker's responsibilities in violation of Iowa Code Iowa Code §§ 543B.34(1), 543B.62(3)(b) (2020). See 193E Iowa Administrative Code §§ 7.11(1), 18.2(2), 18.14(5)(n).

# **CIRCUMSTANCES**

- 6. The Respondent has been a licensed real estate salesperson assigned to BG Brokerage, LLC, a licensed real estate firm, license number F06130000, located in Des Moines, Iowa, since August 4, 2020.
- 7. It was discovered that the Respondent was in the business of conducting commercial property management for Corrido Plaza Development, LLC; independently outside of the licensed firm she is assigned to. The Respondent in conducting property management activities did not have written property agreements between the owners of the properties and her designated brokerage.
- 8. Consequently, in 2020 the Respondent conducted and received compensation for activities in the state of lowa requiring a real estate license outside of the licensed broker or firm that she was assigned to. As a real estate salesperson, the Respondent has an ongoing obligation to keep her employing broker apprised of all activities she conducts on behalf of or in the name of her affiliated real estate brokerage.

### SETTLEMENT AGREEMENT

- 9. Respondent admits to each and every allegation in the above-stated Statement of Charges. This case shall constitute one violation for purposes of Iowa Code section 543B.29(4) (2022).
- 10. Respondent acknowledges that she has a right to receive notice of the above-stated charges and to request a hearing before the Commission on the merits of the charges. By freely and voluntarily entering into this Order, the Respondent waives her right to notice and a hearing and all attendant rights, including the right to seek judicial review. This Order

constitutes discipline against the Respondent, and is the final agency order in this contested case pursuant to Iowa Code section 17A.10 and 193 Iowa Administrative Code 7.4.

- 11. Respondent agrees that the State's attorney and/or Commission staff may present this Order to the Commission and may have *ex parte* communications with the Commission while presenting it. Respondent waives any right of notice of this meeting or any right that the Respondent might have to participate in the discussion of this Order among the Commission, the Commission staff and the State's attorney.
- 12. This Order shall be part of the permanent record of Respondent and shall be considered by the Commission in determining the nature and severity of any future disciplinary action to be imposed in the event of any future violations of the laws and rules governing the practice of real estate by the Respondent.
- 13. Failure to comply with the terms of this Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(1)(d), 543B.34(1) and 272C.3(2)(a) (2022) and shall be grounds for further disciplinary action. However, no action may be taken against the Respondent for violations of this Order without a hearing, or waiver of hearing.
- 14. This Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members.
  - (a) In the event that this Order is rejected by the Commission it shall be of no force or effect to either party and it shall not be admissible for any purpose in further proceedings in this matter.
  - (b) If the Commission approves this Order, it shall fully dispose of all issues in this case.
- 15. Upon acceptance by both the Commission and Respondent, this Combined Statement of Charges, Informal Settlement Agreement and Consent Order shall be a public record available for inspection and copying in its entirety in accordance with the requirements of lowa Code chapter 22 (2022).

### **CONSENT ORDER**

#### IT IS THEREFORE ORDERED:

- 16. <u>REPRIMAND.</u> Pursuant to 193E Iowa Administrative Code section 18.14(1)(j), the Respondent is reprimanded.
- 17. <u>CIVIL PENALTY</u>. Respondent shall pay a civil penalty to the Commission in the amount of one thousand, five hundred dollars (\$500.00 for Count I, \$500.00 for Count II, \$500 for Count

## III) as a condition precedent to:

FOR THE COMMISSION:

- (a) The Respondent's reactivation of her inactive lowa real estate salesperson license.
- (b) The Respondent's reinstatement of her lowa real estate salesperson license in the event of its expiration.

The Commission shall not reactivate or reinstate the Respondent's lowa real estate salesperson license before and until it has received payment in full of the required civil penalty from the Respondent. Payment of the civil penalty shall not excuse the Respondent from demonstrating compliance with all other applicable statutory and regulatory requirements or otherwise qualifying for reactivation or reinstatement of her lowa real estate salesperson license.

18. <u>FUTURE COMPLIANCE</u>. The Respondent shall at all future times fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate.

WHEREFORE, the terms of this Combined Statement of Charges, Informal Settlement Agreement and Consent Order are agreed to and accepted by the Iowa Real Estate Commission and the Respondent.

FOR THE RESPONDENT:	
Voluntarily agreed to and accepted to 2022.	ed by <b>Valerie A. Hageman</b> on this day of
-10 00 00 19 1 1 Long 2022.	Valenettagua
1	By: VALERIE A. HAGEMAN, Respondent
State of Polk	ABBIE CROSS COMMISSION NO. 774211 MY COMMISSION EXPIRES 10WA  ABBIE CROSS COMMISSION NO. 774211
Signed and sworn to before me o	on this Sm day of Opril , 2022, by:
	Notary Public, State of NOWA  Printed Name: Printed Name: Printed Name: 8-6-24

IREC Case No. 20-172 Valerie A. Hageman