

IOWA ACCOUNTANCY EXAMINING BOARD
200 EAST GRAND, SUITE 350 | DES MOINES, IA 50309

OPEN SESSION MINUTES
BOARD MEETING
DECEMBER 9, 2021
10:00 AM

Board members present for all or part of the meeting:

James Smith	Jennifer Dickey – electronic
Michelle O’Neill	Rudolfo Reyes – electronic
Allen Kockler – electronic	Courtney De Ronde – electronic
Bradley Hauge – electronic	Lesley Bartholomew – electronic

Board members absent:

None

Staff Present:

Robert Lampe, Executive Officer	Brad Horn, AAG
Kimberly Gleason, Licensing Specialist	Lori SchraderBachar, Bureau Chief
Renee Paulsen, PLB Investigator	Molly Gilliam, Administrative Assistant

Public Present:

Cindy Adams, ISCPA

Call to Order:

Reyes called the meeting to order at 10:01 a.m.
Roll call was taken and quorum was established.
One change to the agenda, addition of case 21-21.

Approval of Minutes

Motion by De Ronde to approve Open and Closed Meeting Minutes for September 23, 2021 as presented and authorize executive director to sign on behalf of the chair.

Seconded by Smith.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Chair’s Comments

Reyes had nothing to report.

Bureau Chief Comments

SchraderBachar provided a short report.

Executive Officer Comments

Lampe introduced new PLB team member Molly Gilliam.

Assistant Attorney General Comments

Horn had nothing to report.

Bartholomew joined the meeting at 10:14 a.m.

Old Business

Discussion/Vote – ISCPA Request

ISCPA and the board went into lengthy discussion. Adams has been tasked by the board to provide the exact verbiage to the executive director to be sent to NASBA.

Motion by O'Neill to request from NASBA as much information as we can get for metric analysis to be given to ISCPA.

Seconded by Reyes.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Vote to Adopt Amendments to IAC193A

Motion by Hauge to adopt Amendments, IAC193A as presented.

Seconded by Bartholomew.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

New Business

Calcaterra Supreme Court Ruling – Horn

Horn provided a brief overview of the Calcaterra ruling.

Report on NASBA Annual Meeting – Smith/Lampe

Smith and Lampe provided a detailed overview of the NASBA annual meeting.

Vote on Lampe/Horn attendance at NASBA ED/Legal Counsel Meeting

Motion by De Ronde to approve Lampe and Horn to attend the NASBA ED/Legal Counsel Meeting.

Seconded by Hauge.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Vote on Board attendance at NASBA Regional Meeting

Motion by Reyes to approve Lampe and Smith to attend the NASBA Regional Meeting.

Seconded by O'Neill.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Select 2022 Meeting Dates

The board selected May 26, July 28, September 22, and December 8 of 2022.

Public Comment

Adams addressed the board.

SchraderBachar and public members left the meeting at 10:43 a.m.

Discipline Committee Report and Recommendations:

Motion made by Kockler to go into Closed Session: In accordance with Iowa Code §§ 21.5(1)(a) & (d) and 272C.6(4), the Board will go into closed session to review pending licensee discipline cases and investigations, including any cases ready for final resolution through closure or consent order.

Seconded by Hauge.

Roll Call Vote: Bartholomew, aye; Smith, aye; O'Neill, aye; De Ronde, aye; Hauge, aye; Kockler, aye; Reyes, aye; and Dickey, aye.

The Board entered into closed session at 10:45 a.m. and arose at 11:43 a.m.

Motion by Smith to return to open session.

Seconded by Hauge.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Motion by Reyes to sign consent order in cases 21-12 and 21-13 with the executive officer to sign on behalf of the chair and find probable cause cases 16-12, 21-15, 21-16, 21-17, 21-18, 21-19, and 21-21.

Seconded by De Ronde.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Adjourn

Reyes adjourned the meeting at 11:45 a.m.

These minutes accurately reflect the minutes approved by the Board at the meeting on:

5-26-2022
DATE

Michelle O'Neill
BY: Chair

