Department of Commerce Professional Licensing Bureau

BEFORE THE IOWA REAL ESTATE COMMISSION 200 EAST GRAND, SUITE 350 DES MOINES, IOWA 50309 Signature Executive Office

IN RE:)	
)	CASE NUMBER: 19-085
Julie A. Henry)	
Salesperson (S63840000))	COMBINED STATEMENT OF
EXPIRED)	CHARGES, INFORMAL
)	SETTLEMENT AGREEMENT,
5420 NW 66th Ave.)	AND CONSENT ORDER IN A
Johnston, IA 50131)	DISCIPLINARY CASE
)	
RESPONDENT)	
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The Iowa Real Estate Commission (Commission) and Julie A. Henry (Respondent) enter into this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order in a Disciplinary Case (Order) pursuant to Iowa Code Sections 17A.10(1) and 272C.3(4) (2021).

- 1. The Commission issued the Respondent real estate salesperson license number S63840000 on June 19, 2015. Respondent's license was current and in full force and effect through December 31, 2020. At all times relevant to this matter, the Respondent was a licensed real estate salesperson assigned to Real Estate Concepts Referral Company, LLC, a licensed real estate firm, license number F05452000, located in Urbandale, lowa. The Respondent's license was placed on inactive status on April 26, 2019. The Respondent's license subsequently expired on December 31, 2020. Although her license is presently on expired status, the Respondent has the right to reinstate her salesperson license for a period of three years following the date of expiration. See 193E lowa Administrative Code § 4.6.
- 2. The Commission has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543B (2019). Licenses issued by the Commission are subject to the laws of the State of Iowa and to the administrative rules of the Commission.

STATEMENT OF CHARGES

COUNT I

3. The Respondent is charged with failing to fully cooperate with a licensee disciplinary investigation and/or failing to timely provide information requested by the Commission relative to a complaint investigation in violation of lowa Code § 543B.34(1)(j) (2018) and 193E lowa Administrative Code §§ 16.5(1), 16.5(3), 18.2(7) and 18.14(5)(i).

CIRCUMSTANCES

- 4. On January 12, 2018, the Respondent submitted her online renewal application for an lowa real estate salesperson license. On the renewal, the Respondent attested that she had completed the required thirty-six hours (36) of continuing education between the time period of January 1, 2015 December 31, 2017 as required by 193E lowa Administrative Rule § 16.4(2).
- 5. On October 10, 2018, the Respondent was chosen for a random audit by the Commission for compliance with the continuing education requirements for her 2017 real estate license renewal.
- 6. In the course of its investigation, the Commission asked the Respondent on multiple occasions for documents related to her continuing education.
- 7. On May 1, 2019, the Respondent provided proof of compliance with the continuing education requirements; 203 days after the initial audit correspondence was sent by the Commission to the Respondent.

SETTLEMENT AGREEMENT

- 8. Respondent admits each and every allegation in the above-stated Statement of Charges. This case shall constitute one violation for purposes of Iowa Code section 543B.29(4) (2021).
- 9. Respondent acknowledges that she has a right to receive notice of the above-stated charges and to request a hearing before the Commission on the merits of the charges. By freely and voluntarily entering into this Order, the Respondent waives her right to notice and a hearing and all attendant rights, including the right to seek judicial review. This Order constitutes discipline against the Respondent, and is the final agency order in this contested case pursuant to Iowa Code section 17A.10 and 193 Iowa Administrative Code 7.4.
- 10. Respondent agrees that the State's attorney and/or Commission staff may present this Order to the Commission and may have *ex parte* communications with the Commission while presenting it. Respondent waives any right of notice of this meeting or any right that the Respondent might have to participate in the discussion of this Order among the Commission, the Commission staff and the State's attorney.
- 11. This Order shall be part of the permanent record of Respondent and shall be considered by the Commission in determining the nature and severity of any future disciplinary action to be imposed in the event of any future violations of the laws and rules governing the practice of real estate by the Respondent.
- 12. Failure to comply with the terms of this Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(1)(d), 543B.34(1) and 272C.3(2)(a) (2021) and shall be

grounds for further disciplinary action. However, no action may be taken against the Respondent for violations of this Order without a hearing, or waiver of hearing.

- 13. This Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members.
 - (a) In the event that this Order is rejected by the Commission it shall be of no force or effect to either party and it shall not be admissible for any purpose in further proceedings in this matter.
 - (b) If the Commission approves this Order, it shall fully dispose of all issues in this case.
- 14. Upon acceptance by both the Commission and Respondent, this Combined Statement of Charges, Informal Settlement Agreement and Consent Order shall be a public record available for inspection and copying in its entirety in accordance with the requirements of lowa Code chapter 22 (2021).

CONSENT ORDER

IT IS THEREFORE ORDERED:

- 15. <u>REPRIMAND</u>. Pursuant to 193E Iowa Administrative Code section 18.14(1)(j), the Respondent is reprimanded.
- 16. <u>CIVIL PENALTY</u>. Respondent shall pay to the Commission a civil penalty in the amount of five hundred dollars (\$500.00) as a condition precedent to:
 - (a) The Respondent's reactivation of her inactive lowa real estate salesperson license.
 - (b) The Respondent's reinstatement of her lowa real estate salesperson license in the event of its expiration.

The Commission shall not reactivate or reinstate the Respondent's lowa real estate salesperson license before and until it has received payment in full of the required civil penalty from the Respondent. Payment of the civil penalty shall not excuse the Respondent from demonstrating compliance with all other applicable statutory and regulatory requirements or otherwise qualifying for reactivation or reinstatement of her lowa real estate salesperson license.

17. <u>FUTURE COMPLIANCE</u>. The Respondent shall at all future times fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate.

IREC Case No. 19-085 Julie A. Henry

FOR THE RESPONDENT:

WHEREFORE, the terms of this Combined Statement of Charges, Informal Settlement Agreement and Consent Order are agreed to and accepted by the Iowa Real Estate Commission and the Respondent.

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	ccepted by Julie A. Henry on this day of
<u>Moy</u> , 2021.	By: JULIE A. HENRY, Respondent
State of A	· · · · · · · · · · · · · · · · · · ·
State of A (County of AUC)	*
Signed and sworn to before	me on this, 2021, by:
	Notary Public, State of
	Printed Name:
	My Commission Expires:
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FOR THE COMMISSION:	
	ccepted by the IOWA REAL ESTATE COMMISSION on this
daý of <u>June</u>	, 2021.
	M. M. L. Fon Incl.
	DEWNIS L. STOLK, Chair
	Iowa Real Estate Commission