

IOWA ACCOUNTANCY EXAMINING BOARD
200 EAST GRAND, SUITE 350 | DES MOINES, IA 50309

OPEN SESSION MINUTES
BOARD MEETING
SEPTEMBER 24 2020
10:00 AM

Pursuant to Iowa Code 21.8, this meeting was conducted by electronic means due to the health and safety concerns stemming from the COVID-19 virus, which had rendered an in-person meeting impossible or impractical.

Public access to this meeting was available online.

Board members present for all or part of the meeting:

James Smith	Rudolfo Reyes
Michelle O'Neill	Jennifer Dickey
Lesley Bartholomew	Courtney De Ronde
Bradley Hauge	

Board members absent:

Allen Kockler

Staff Present:

Robert Lampe, Executive Officer	Luke Dawson, AAG
Kimberly Gleason, Licensing Specialist	Lori SchraderBachar, Site Manager
Rene Paulsen, PLB Investigator	

Public Present:

Kathy Nicholson, ISCPA

Call to Order:

Smith called the meeting to order at 10:01 a.m.

Roll call was taken and quorum was established.

There were no agenda changes.

Bartholomew joined the meeting.

Approval of Minutes

Motion by DeRonde to approve Open and Closed Meeting Minutes for August 13, 2020 as presented with the Executive Director to sign on behalf of the Chair.

Seconded by Reyes.

VOTE: Aye: All Nay: None Abstaining: None

Motion passed unanimously.

Chair's Comments

Smith reported on the Regional meeting held on September 16, 2020.

Site Manager Comments

SchraderBachar reported on remote proctoring.

Executive Officer Comments

Lampe reported on the NASBA survey from the last board meeting and reviewed additional questions.

Assistant Attorney General Comments
Dawson had nothing to report.

New Business

Vote on Peer Review Estimate
Lampe reviewed the peer review estimate.

Motion by Reyes to approve the peer review estimate as presented.

Seconded by O'Neill.
VOTE: Aye: All Nay: None Abstaining: None
Motion passed unanimously.

Discussion, Proposed Amendments – NASBA By Laws
Lampe reviewed the NASBA By Law proposed changes. The board had no concerns and tasked EO Lampe to notify NASBA.

Legislation

Vote on Criminal Convictions, Impact to Licensure
Dawson and Lampe reviewed the criminal conviction list and discussion ensued. The Board has agreed to table until the October 29th board meeting.

Public Comment

None.

Discipline Committee Report and Recommendations:

Motion made by Smith to go into Closed Session: In accordance with Iowa Code §§ 21.5(1)(a) & (d) and 272C.6(4), the Board will go into closed session to review pending licensee discipline cases and investigations, including any cases ready for final resolution through closure or consent order.

Seconded by Bartholomew.

Roll Call Vote: Smith, aye; Reyes, aye; O'Neill, aye; Dickey, aye; De Ronde, aye; Hauge, aye; and Bartholomew, aye.

The Board entered into closed session at 10:59 a.m. and arose at 12:21 p.m.

Motion by Reyes to return to open session.

Seconded by Dickey.
VOTE: Aye: All Nay: None Abstaining: None
Motion passed unanimously.

Motion made by Smith to find probable cause in case 20-07 as discussed in closed session.

Seconded by Reyes.
VOTE: Aye: All Nay: None Abstaining: None
Motion passed unanimously.

Adjourn

Smith adjourned the meeting at 12:22 p.m.

These minutes accurately reflect the minutes approved by the Board at the meeting on:

11/5/2020
DATE

Robert E. Lampe on behalf of Chair
BY: Chair