# **Iowa Real Estate Commission** 200 East Grand, Suite 350 Des Moines, IA 50309 **MINUTES** June 7, 2018

### Commissioners present for all or part of the meeting

Terry Duggan, Chair Dennis Stolk, Vice Chair James Clingman

Jan Demott Helen Kimes Michael Telford

#### Staff present for all or part of the meeting

Jeff Evans, Executive Officer Jill Simbro, Education Director Colleen Goddard, Trust Account Auditor Lori SchraderBachar, Site Manager

Alan Nagel, Assistant Attorney General Sandy Malek, Investigator Tracy Lindgren, Background Coordinator Ashley Thompson, Licensing Specialist

### Call to Order

Duggan called the meeting to order at 9:00 a.m. Quorum was established.

## Motion by Telford to approve the agenda.

Seconded by Kimes.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

### **Approval of Minutes**

Motion by Stolk to approve the open session and the closed session May 3, 2018 IREC meeting

Seconded by Clingman.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

### **Commissioner Reports**

None.

#### Staff Reports

Evans reminded the Commissioners that if they expect to be reimbursed for travel expenses, original and itemized receipts would need to be submitted to staff. Evans introduced Alan Nagel from the Attorney General's office, who was filling in for John Lundquist for this month's Commission meetings. Evans demonstrated to the Commissioners how to access Commissioner resources on the shared Google drive. Evans reported that he would be attending the IAR Annual Summer Meetings on June 8, 2018 in Okoboji, Iowa.

SchraderBachar provided Commission members with the State of Iowa's "Do's and Don'ts" pamphlet.

### ARELLO

Motion by Telford to approve sending Evans, Lundquist, Commissioner Duggan, and Commissioner Stolk to the 2018 ARELLO Annual Conference in St. Louis, MO in September 2018.

Seconded by Kimes.

Nay: None Abstaining: Duggan, Stolk VOTE: Aye: Clingman, DeMott, Kimes, Telford Motion passed.

## **Public Comment**

None.

#### **Closed Session**

Motion by Telford to enter into closed session pursuant to Iowa Code §§ 21.5(1)(a), 21.5(1)(d), 21.5(1)(f) and 543B.15(9) to review pending licensee discipline cases and investigations, including any cases ready for final resolution through closure or consent order.

Seconded by Stolk.

VOTE: Roll call: Clingman, aye; DeMott, aye; Duggan, aye; Kimes, aye; Stolk, aye; Telford, aye.

Motion passed unanimously.

The Commission entered into closed session at 9:08 a.m. and arose from there at 10:26 a.m.

#### **Open Session**

Motion by Telford to return to open session.

VOTE: Aye: All

Seconded by Clingman.

Abstaining: None

Motion passed unanimously.

Motion by Kimes to accept the signed settlements and/or consent agreements for the cases discussed in closed session and to authorize Duggan (Chair), Stolk (Vice Chair), or Jeff Evans (Executive Officer) to sign the accepted, signed Settlement Agreements/Consent Agreements for the following cases: 17-146, 17-157, 17-171, 17-189, 17-208, 17-210, 17-249, 17-260, and 17-273.

Seconded by Clingman.

VOTE: Ave: All

Nay: None

Nay: None

Abstaining: None

Motion passed unanimously.

Motion by Kimes to accept the signed settlements and/or consent agreements for the cases discussed in closed session and to authorize Duggan (Chair), Stolk (Vice Chair), or Jeff Evans (Executive Officer) to sign the accepted, signed Settlement Agreements/Consent Agreements for the following case: 17-167.

Seconded by Clingman.

VOTE: Aye: Clingman, Duggan, Kimes, Stolk, Telford Nay: None

Abstaining: DeMott

Motion passed.

Motion by DeMott to find probable cause for the cases discussed in closed session and to authorize Duggan (Chair), Stolk (Vice Chair) or Evans (Executive Officer) to sign the accepted, signed settlement agreement for the following cases: 18-059, 18-064, 18-068, 18-071, 18-081, and 18-108.

Seconded by Stolk.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Motion by Telford to grant licensure for IREC Case No. 18-120 and IREC Case No. 18-128.

Seconded by DeMott.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Motion by Stolk to enter into a Consent Agreement with the applicant, with Executive Officer Evans signing on behalf of the Commission and then grant licensure when all other licensing requirements have been met for IREC Case No. 18-110.

Seconded by Clingman.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Motion by Telford to find probable cause for the following cases: 16-022, 17-205, 17-275, 18-084, 18-088, 18-089, 18-094, 18-095, 18-096, 18-099, 18-109, 18-122, 18-124, 18-133, and 18-137 as discussed in closed session.

Seconded by Kimes.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Motion by Stolk to close the following cases: 18-051, 18-061, 18-062, 18-076, 18-085, 18-086, 18-097, 18-101, 18-103, 18-111, 18-115, and 18-116 as discussed in closed session.

Seconded by DeMott.

VOTE: Ave: All

Nay: None

Abstaining:

None

Motion passed unanimously.

Motion by Clingman to rescind the probable cause finding and close IREC Case No. 16-374 as discussed in closed session.

Seconded by Stolk.

VOTE: Aye: Clingman, DeMott, Kimes, Stolk, Telford

Nav: None

Abstaining:

Duggan

Motion passed.

Motion by Clingman to rescind the probable cause finding and close IREC Case No. 17-166 as discussed in closed session.

Seconded by Stolk.

VOTE: Aye: Clingman, Duggan, Kimes, Stolk, Telford

Nay: None

Abstaining:

DeMott

Motion passed.

Motion by Telfod for staff to draft a letter for the individual seeking a prelicense determination as discussed in closed session for the IREC case No. 18-123.

Seconded by Clingman.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Discussion was held re: IREC Case No. 14-019 and the allegations of the unlicensed practice of real estate.

Motion by Telford to close the file.

Seconded by Kimes.

VOTE: Aye: All

Nay: None

Abstaining: None

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Motion passed unanimously.

Discussion was held re: IREC Case No. 17-154 and the allegations of the unlicensed practice of real estate. No action needed.

Discussion was held re: IREC Case No. 18-082 and the allegations of the unlicensed practice of real

Motion by DeMott to issue "Notice of Intent to Impose Civil Penalty" in the amount of \$500 pursuant to 193E Iowa Administrative Code § 21.5.

Seconded by Telford.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Discussion was held re: IREC Case No. 18-083 and the allegations of the unlicensed practice of real estate.

Motion by Clingman to issue "Notice of Intent to Impose Civil Penalty" in the amount of \$500 pursuant to 193E lowa Administrative Code § 21.5.

Seconded by Kimes.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Discussion was held re: IREC Case No. 18-087 and the allegations of the unlicensed practice of real estate.

Motion by Kimes to issue "Notice of Intent to Impose Civil Penalty" in the amount of \$500 pursuant to 193E Iowa Administrative Code § 21.5.

Seconded by DeMott.

VOTE: Aye: All

Nay: None

Abstaining: None

Motion passed unanimously.

Discussion was held re: IREC Case No. 18-098 and the allegations of the unlicensed practice of real estate.

Motion by Kimes to issue "Notice of Intent to Impose Civil Penalty" in the amount of \$500 pursuant to 193E Iowa Administrative Code § 21.5.

Seconded by Clingman.

VOTE: Aye: All

Nav: None

Abstaining: None

Motion passed unanimously.

Discussion was held re: IREC Case No. 17-253.

Motion by Telford to issue an "Order Accepting Withdrawal of License Application" and to authorize Duggan (Chair), Stolk (Vice Chair), or Jeff Evans (Executive Officer) to sign Order.

Seconded by DeMott.

VOTE: Ave: All

Nay: None

Abstaining: None

Motion passed unanimously.

### **Future Meetings**

Future IREC meetings are tentatively scheduled as follows:

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## **Investigation Committee**

No July Meeting

Wednesday, August 1 (Duggan, Stolk)

Wednesday, September 5 (Kimes, Clingman)

Wednesday, October 3 (Telford, DeMott)

#### **Commission Meetings**

No July Meeting

Thursday, August 2

Thursday, September 6

Thursday, October 4

## **Meeting Adjourned**

With all agenda items covered, the meeting adjourned at 10:45 am.

These minutes accurately reflect the minutes approved by the Commission at the meeting on:

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By: TERRANCE M. DUGGAN, Chair

Dennis L. Stolk Vice Chair

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