

Use this application only if you currently have an Iowa broker license and changing the type of that license to an "officer."

You must provide legal proof that you are a member, officer or partner of the company. That could be a copy of the articles of incorporation (or organization), certified copy of board meeting minutes where you were voted in to be an officer, etc.

If you are opening a new company not yet licensed in Iowa, then you must first be placed on inactive status, if not already inactive, by your current employing broker. Once you are inactive then the new firm application must also be submitted along with this application.

Will need proof of E&O insurance effective after the conversion to an officer.

There is no fee to change from a broker associate to a broker officer.