

BEFORE THE IOWA REAL ESTATE COMMISSION
1918 SE HULSIZER
ANKENY, IOWA

IN RE:

DENNIS R. DUFFIELD
2020 Lauren Drive SW
Cedar Rapids, IA 52404

CASE NUMBER: 98-091

CEASE AND DESIST

AGREEMENT

On this 22nd day of August, 1999, the Iowa Real Estate Commission and Dennis R. Duffield, stipulate and agree as follows:

1. Duffield was licensed to practice real estate in Iowa from 1964 to 1992.
2. Duffield allowed his broker's license to lapse in 1992 for health reasons.
3. In 1998, Duffield, acting on behalf of All-Iowa Real Estate, Inc., entered into a real estate "consulting" contract with prospective sellers of real estate ~~for the stated purpose of assisting and selling to the buyer and as a Real Estate Broker~~ *at the request of Ralph M. Miller, a Real Estate Broker* *RRR*
4. As a real estate "consultant" Duffield presented an ~~offer~~ *Proposal* to purchase to sellers. The transaction did not close. Duffield accordingly did not receive his contingent "consulting fee." *RRR*
5. Duffield ~~engaged~~ *allegedly* in activities which require an active real estate broker's license pursuant to Iowa Code sections 543B.1, 543B.3, and 453B.6. *RRR*
6. The Commission ~~is authorized~~ *may refer a Complaint* to commence legal proceedings to secure compliance with Iowa Code section 543B.1 pursuant to Iowa Code section 543B.44. *RRR*
7. Duffield assures the Commission the 1998 consulting agreement was an isolated event he does not intend to repeat.
8. In view of Duffield's assurance, the Commission will accept Duffield's voluntary agreement to fully comply with Iowa law in the future.
9. Duffield understands that if he engages in any activities in the future which require an active real estate broker's license, the Commission may pursue all legal options then authorized by law.

10. Duffield expressly agrees not to engage in any of the activities listed in the attached copy of Iowa Code section 543B.3, either directly or through All-Iowa Real Estate, Inc.

RESPONDENT ACKNOWLEDGES that the effective date of the agreement shall be the date this Cease and Desist Agreement is accepted by the Commission.

FOR THE RESPONDENT:

This Cease and Desist Agreement is voluntarily entered into by DENNIS R. DUFFIELD on this 22nd day of August, 1999.



[Signature]
DENNIS R DUFFIELD, Respondent

~~State of _____)
County of _____)~~

Signed and sworn to before me on this _____ day of _____, 1999, by
N/A

N/A
Notary Public, State of Iowa
Printed Name:
My Commission Expires: _____

FOR THE COMMISSION:

This Cease and Desist Agreement is accepted by the Iowa Real Estate Commission on this 19th day of AUGUST, 1999.



[Signature]
Russell D. Nading, Chair
Iowa Real Estate Commission

cc: Pam Griebel, Assistant Attorney General