# BEFORE THE IOWA REAL ESTATE COMMISSION 1920 S.E. HULSIZER ANKENY, IOWA

IN RE:	) CASE NUMBER: 09-008
Lela J. Bergren	)
Salesperson (S39458)	)
• • •	) COMBINED STATEMENT OF
RESPONDENT	) CHARGES, INFORMAL
	) SETTLEMENT AGREEMENT,
INACTIVE	) AND CONSENT ORDER IN A
68486-620 <sup>th</sup> Street	) DISCIPLINARY CASE
Griswold, Iowa 51535	, )

The Iowa Real Estate Commission (Commission) and **Lela J. Bergren** (Respondent) enter into this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order in a Disciplinary Case (Order) pursuant to Iowa Code Sections 17A.10(1) and 272C.3(4) (2007).

- 1. The Commission issued the Respondent a real estate salesperson license number S39458 on March 18, 1999. Respondent's license is Active and in full force and effect until December 31, 2010. At all times relevant to this matter, the Respondent was a licensed salesperson assigned to Steve Bergren, a licensed sole proprietor, license number B38194, located in Griswold, Iowa.
- 2. The Commission has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543B (2007). Licenses issued by the Commission are subject to the laws of the State of Iowa and to the administrative rules of the Commission.

### **STATEMENT OF CHARGES**

#### COUNT I

3. The Respondent is charged with making a false statement of material fact on an application for a real estate salesperson license, in violation of Iowa Code sections 272C.10(1); 543B.15(5); 543B.29(1) & (3), 543B.34(1) & (11) (2007); and 193E Iowa Administrative Code section 16.5(4).

# **CIRCUMSTANCES**

4. On January 4, 2008, the Respondent submitted her on-line renewal application for an Iowa real estate salesperson license. On the renewal, the Respondent attested that she had completed the required thirty six hours of continuing education required by 193E Iowa Administrative Rule 16.2(2).

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- 5. In November 20, 2008, the Respondent was chosen for a random audit for her continuing education for his 2007 license renewal.
- 6. The Respondent was only able to provide to the Commission verification for twenty-four hours of continuing education for the 2007 license renewal period.
- 7. Accurate and truthful attestation of one's continuing education history is essential to the Commission's determination of whether an applicant meets all requirements for renewing an Iowa real estate salesperson license. See Iowa Code § 543.15(5) (2007). The Respondent's failure to complete all required continuing education as attested on his Iowa real estate salesperson license renewal application constituted a false statement of material fact.

### SETTLEMENT AGREEMENT

- 8. Respondent admits to each and every allegation in the above-stated Statement of Charges.
- 9. Respondent acknowledges that he has a right to receive notice of the above-stated charges and to request a hearing before the Commission on the merits of the charges. By freely and voluntarily entering into this Order, the Respondent waives his right to notice and a hearing and all attendant rights, including the right to seek judicial review. This Order constitutes discipline against the Respondent, and is the final agency order in this contested case pursuant to Iowa Code section 17A.10 and 193 Iowa Administrative Code 7.4.
- 10. Respondent agrees that the State's attorney and/or Commission staff may present this Order to the Commission and may have *ex parte* communications with the Commission while presenting it. Respondent waives any right of notice of this meeting or any right that the Respondent might have to participate in the discussion of this Order among the Commission, the Commission staff and the State's attorney.
- 11. This Order shall be part of the permanent record of Respondent and shall be considered by the Commission in determining the nature and severity of any future disciplinary action to be imposed in the event of any future violations of the laws and rules governing the practice of real estate by the Respondent.
- 12. Failure to comply with the terms of this Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(3), 543B.34(2) and 272C.3(2)(a) (2007) and shall be grounds for further disciplinary action. However, no action may be taken against the Respondent for violations of this Order without a hearing, or waiver of hearing.
- 13. This Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members.
  - (a) In the event that this Order is rejected by the Commission it shall be of no force or effect to either party, and it shall not be admissible for any

purpose in further proceedings in this matter.

- (b) If the Commission approves this Order, it shall fully dispose of all issues in this case.
- 14. Upon acceptance by both the Commission and Respondent, this Combined Statement of Charges, Informal Settlement and Consent Order shall be a public record available for inspection and copying in its entirety in accordance with the requirements of Iowa Code chapter 22 (2007).

#### CONSENT ORDER

- 15. <u>REPRIMAND.</u> Pursuant to 193E Iowa Administrative Code section 18.14(1)(j), the Respondent is reprimanded.
- 16. <u>CIVIL PENALTY</u>. The Respondent shall pay to the Commission a civil penalty in the amount of \$500.00 as a condition precedent to:
  - (a) The Respondent's reactivation of her inactive Iowa real estate salesperson license.
  - (b) The Respondent's reinstatement of her Iowa real estate salesperson license in the event of its expiration.

The Commission shall not reactivate or reinstate the Respondent's real estate salesperson license before and until it has received payment in full of the required civil penalty from the Respondent. Payment of the civil penalty shall not excuse the Respondent from demonstrating compliance with all other applicable statutory and regulatory requirements or otherwise qualifying for reactivation or reinstatement of her real estate salesperson license.

17. <u>FUTURE COMPLIANCE</u>. The Respondent shall at all future times fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate.

**WHEREFORE**, the terms of this Combined Statement of Charges, Informal Settlement Agreement is agreed to by the Iowa Real Estate Commission and the Respondent.

#### FOR THE RESPONDENT:

Voluntarily agreed to and a	ccepted by Lela J. Bergren on this <u>a()</u> day of
Feb , 2009.	
	^
	LELE J. BERGREN, Respondent
	DELLO DELYGICETT, Respondent

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		Special Control	
State of IA	)	6.2.11	
County of CASS	)		
Signed and	sworn to before me	e on this 26 day of 1eb.	, 2009, by
	Notary P	Public, State of Iowa	
		Name: Nancy M Freeman  nmission Expires: 6.2.11	
	111, 0011	<u> </u>	
FOR THE COMM	IISSION:		
Voluntarily this 26day of	agreed to and accep	pted by the <b>IOWA REAL ESTATE COM</b>	IMISSION on
	$\overline{f J}$	JAMES E. HUGHES, Chair	
		owa Real Estate Commission	