Department of Commerce Professional Licensing Bureau

BEFORE THE IOWA REAL ESTATE COMMISSION IN EDUCATION 200 EAST GRAND, SUITE 350 DES MOINES, IOWA 50309

Signature Executive Officer	
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NT OF	

IN RE:

CASE NUMBER: 14-073

Julie A. Fischer

Salesperson (S33994000)

COMBINED STATEMENT OF

CHARGES, INFORMAL

Premier Realty Group, Inc.

SETTLEMENT AGREEMENT,

AND CONSENT ORDER IN A

Sioux City, IA 51101

DISCIPLINARY CASE

Respondent.

The Iowa Real Estate Commission (Commission) and Julie A. Fischer (Respondent) enter into this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order in a Disciplinary Case (Order) pursuant to Iowa Code Sections 17A.10(1) and 272C.3(4) (2013).

- 1. The Commission issued the Respondent real estate salesperson license number S33994000 on June 8, 1993. Respondent's license is current and in full force and effect until December 31, 2016. At all times relevant to this matter, the Respondent was a licensed real estate salesperson, assigned to Premier Realty Group, Inc., a licensed real estate firm, license number F03883000, located in Sioux City, Iowa.
- 2. The Commission has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543B (2013). Licenses issued by the Commission are subject to the laws of the State of Iowa and to the administrative rules of the Commission.

STATEMENT OF CHARGES

COUNT I

3. The Respondent is charged with engaging in practices harmful or detrimental to the public, failing to provide brokerage services to all parties honestly and in good faith, and failing to diligently exercise reasonable skill and care in providing brokerage services to all parties to a transaction in violation of Iowa Code sections 543B.29(1)(c), 543B.29(1)(d), 543B.34(1), 543B.56(1)(a), 543B.56(1)(b) (2013); and 193E Iowa Administrative Code sections 12.3(1)(b), 12.3(1)(c)(7), 18.14(5)(s) by altering a copy of an earnest money check.

CIRCUMSTANCES

4. In September 2013, the Respondent on behalf of her affiliated broker, entered into a listing agreement with the sellers for a distressed property located in Charles City, Iowa.

- 5. The Respondent, acting as an exclusive seller's agent, accepted an earnest money check dated March 7, 2014 in the amount of \$500.00 from the buyers of the subject property. A second earnest money check in the amount of \$500.00 from the buyers was dated March 16, 2014.
- 6. Before the time of closing, the seller of the subject property requested proof that the Respondent has received the \$1,000 earnest money deposit from the buyers as agreed upon in the executed Purchase Agreement Counter Addendum dated March 17, 2014.
- 7. At the time of the request, the Respondent had not received the second earnest money check dated March 16, 2014, so as a means to keep the transaction from failing, the Respondent altered a copy of the March 7, 2014 earnest money check to reflect an amount of \$1,000.00 and this was presented to the seller of the subject property.

SETTLEMENT AGREEMENT

- 8. Respondent admits each and every allegation in the above-stated Statement of Charges. This case shall constitute one violation for purposes of lowa Code section 543B.29(4) (2013).
- 9. Respondent acknowledges that she has a right to receive notice of the above-stated charges and to request a hearing before the Commission on the merits of the charges. By freely and voluntarily entering into this Order, the Respondent waives her right to notice and a hearing and all attendant rights, including the right to seek judicial review. This Order constitutes discipline against the Respondent, and is the final agency order in this contested case pursuant to Iowa Code section 17A.10 and 193 Iowa Administrative Code 7.4.
- 10. Respondent agrees that the State's attorney and/or Commission staff may present this Order to the Commission and may have *ex parte* communications with the Commission while presenting it. Respondent waives any right of notice of this meeting or any right that the Respondent might have to participate in the discussion of this Order among the Commission, the Commission staff and the State's attorney.
- 11. This Order shall be part of the permanent record of Respondent and shall be considered by the Commission in determining the nature and severity of any future disciplinary action to be imposed in the event of any future violations of the laws and rules governing the practice of real estate by the Respondent.
- 12. Failure to comply with the terms of this Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(1)(d), 543B.34(1) and 272C.3(2)(a) (2013) and shall be grounds for further disciplinary action. However, no action may be taken against the Respondent for violations of this Order without a hearing, or waiver of hearing.

- 13. This Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members.
 - (a) In the event that this Order is rejected by the Commission it shall be of no force or effect to either party, and it shall not be admissible for any purpose in further proceedings in this matter.
 - (b) If the Commission approves this Order, it shall fully dispose of all issues in this case.
- 14. Upon acceptance by both the Commission and Respondent, this Combined Statement of Charges, Informal Settlement and Consent Order shall be a public record available for inspection and copying in its entirety in accordance with the requirements of lowa Code chapter 22 (2013).

CONSENT ORDER

IT IS THEREFORE ORDERED:

- 15. <u>REPRIMAND</u>. Pursuant to 193E lowa Administrative Code section 18.14(1)(j), the Respondent is reprimanded.
- 16. <u>CIVIL PENALTY</u>. The Respondent shall pay a civil penalty to the Commission in the amount of two thousand, five hundred dollars (\$2,500) no later than one hundred eighty (180) calendar days after acceptance of this Order by the Commission. The civil penalty must come under a cover letter addressed to the Commission's Executive Officer, referencing Case 14-073.
- 17. <u>EDUCATION</u>. The Respondent shall attend the Commission approved twelve (12) hour course "Developing Professionalism and Ethical Practices." These hours shall be in addition to any real estate continuing education required by law for license renewal. The original certificate of attendance must be submitted to the lowa Real Estate Commission within twelve (12) months of the signing of this agreement by the Commission and must come under a cover letter addressed to the Commission's Executive Officer, referencing Case 14-073.
- 18. <u>FUTURE COMPLIANCE</u>. The Respondent shall at all future times fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate.

WHEREFORE, the terms of this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order are agreed to and accepted by the Iowa Real Estate Commission and the Respondent.

FOR THE RESPONDENT:	\mathscr{L}
Voluntarily agreed to and a	ccepted by Julie A. Fischer on this <u>20</u> day of
<u>October</u> , 2014.	
	Juli Arn
	By: JULIE A. FISCHER, Respondent
State of <u>Towa</u>) County of <u>Woodbury</u>	
March	
County of Woodbidg)	
Signed and sworn to before	me on this 20th day of October 2014, by:
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KATHLEEN MILLER NOTARIAL SEAL-IOWA COMMISSION NO. 208421 MY COMMISSION EXPIRES 7-1-16	Notary Public, State of Iowa Printed Name: Kathleen Miller
	My Commission Expires: 7-0la - 16
	7-1-16
FOR THE COMMISSION:	
Voluntarily agreed to and a 6th day of Novembe	ccepted by the IOWA REAL ESTATE COMMISSION on this, 2014.
	Thurston
	TERRANCE M. DUGGAN, Chair
	Iowa Real Estate Commission