PLB NEWS

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Welcome!

Welcome to PLB News, the quarterly newsletter of the Iowa Professional Licensing Bureau.

To keep licensees and stakeholders informed, we are pleased to provide you with information about each of the Bureau's seven professional licensing boards. Click on the name of the board in the list to the left, and you will jump to that section of the newsletter.

We welcome your feedback. Feel free to call the office or e-mail your profession's board. Contact information can be found on the last page of the newsletter.

Accountancy Board

It's The Law: Remember to Report Changes to the Iowa Accountancy Examining Board

Maintain your compliance with the Iowa Accountancy Examining Board's Administrative Code 193A 14.3(9). Report any changes in your physical or mailing address to the Board within thirty (30) calendar days of the changes. This requirement also applies to any judgments, settlements, and reportable violations.

Complete the change of address form and submit to the Board either by mail, fax or email. Please take note as all of these contacts for the Board have recently changed.

Mail: Iowa Accountancy Examining Board, 200 E. Grand, Suite 350, Des Moines, IA

Fax: 515-725-9032

Email: AccountancyBoard@iowa.gov

New CPE Renewal Cycle Option

Did you know that CPAs and LPAs have a new CPE renewal cycle option?

What if you don't get your CPE done by the end of December? Don't fret! The Iowa Accountancy Examining Board adopted a rule on December 19, 2012, which went into effect February 13, 2013, to assist with this issue. You now have an alternative continuing education cycle. This rule is 193A-10.11. For complete Administrative Rules 193A you may find it on the left side of the website www.state.ia.us/iacc.

193A—10.11(272C,542) Alternative continuing education cycles authorized.

10.11(1) Purpose. For a variety of reasons, some CPAs and LPAs may wish to satisfy continuing education requirements on a three-year cycle ending on a date other than December 31. By way of illustration, some licensees may prefer to take courses on particular substantive topics that are not

always offered at the same time each year. Some licensees may wish to schedule continuing education to comply with the differing requirements of multiple jurisdictions. This rule is intended to authorize a more flexible time frame within which continuing education may be satisfied. This rule does not alter any other requirement of this chapter.

10.11(2) Alternative cycle. Starting with the 2013 renewal cycle, a CPA or LPA may self-select June 30 as the date by which continuing education requirements must be satisfied in order to be eligible to renew the license or certificate. Online and paper renewal forms will require the renewal applicant to declare whether the continuing education was satisfied within the three-year period preceding December 31 or the three-year period preceding June 30. When declaring a June 30 date, licensees must be cautious to ensure the continuing education is fully completed on or prior to the date the renewal application is submitted. Licensees who renew with penalty during the 30-day grace period following June 30 must declare either December 31 or June 30 and may not extend the deadline beyond June 30.

10.11(3) Declaration may vary by renewal cycle. A CPA or LPA applying to renew a certificate or license may declare a continuing education deadline of December 31 in one renewal cycle and a continuing education deadline of June 30 in a subsequent renewal cycle, and vice versa. Licensees shall be expected to maintain continuing education records in a manner that complies with the self-selected declaration in any particular renewal cycle.

What does this mean? Here are a couple examples:

CPA Pam has at least 120 hours of CPE in the 3-year period between January 1, 2011 and December 31, 2013 and wants to stick with the way CPE has always been reported. CPA Pam may renew in June 2014 and claim 120 hours of CPE in the 3-year period ending December 31, 2013.

LPA Bill has been ill and unable to complete all of the CPE he needs by December 31, 2013. LPA Bill may renew in June 2014 and claim 120 hours of CPE within the 3-year period between July 1, 2011 and June 30, 2014.

Both approaches will now be allowed under this rule. Just be cautious, please, that you count back 3 years from either December 31, 2013 or June 30, 2014.

Accountancy Board Discipline

All consent orders accepted by the Board after October 1, 2013 may be found on the <u>disciplinary index</u> on the <u>accountancy homepage</u>. This index is a work in progress and will have past consent orders added as time allows.

13-06 James Michael Menard R05300

The Board charged Menard with failing to cooperate with a Board investigation. Menard has since fully responded to the Board's inquiries. This is a resolution of a disputed matter which Menard does not admit liability. Menard was cited for not timely responding to a Board inquiry and is warned that any future violation of like kind will result in further discipline. Menard shall timely respond to future Board inquiries.

13-15; 13-21 Dean Vander Wilt 009027

The Board first became aware of a Settlement Agreement between Respondent and the Secretary of the United States Department of Labor when he self-reported the Agreement while renewing. Under this Agreement, Vander Wilt is barred from serving as a trustee, fiduciary, service provider, agent, consultant, advisor, or representative of any plan intended to qualify as an employee stock ownership plan. The Board received a complaint against Respondent alleging misconduct and multiple instances of substandard work in his practice involving ESOP plans. The Board received a separate complaint alleging that Respondent falsified

business valuation reports in connection with ESOP plans. When responding to Board inquiries about the Settlement Agreement, Respondent disclosed that he falsified valuation reports as a CPA in connection with ESOP plans. In addition to falsifying the information contained in the reports, he presented the reports as if they were prepared by a separate CPA firm.

Rather than proceed with additional investigation of Respondent, the Board and Respondent have reached this settlement agreement in which he will voluntarily surrender his CPA certificate as provided in 193A lowa Administrative Code section 16.3(3). Respondent agrees he shall not file an application with the Board to seek reissuance of a CPA certificate in Iowa.

The Board charges Respondent with dishonesty, fraud, or gross negligence in the practice of public accounting; suspension or revocation of the right to practice before a federal agency; conduct discreditable to the public accounting profession; unethical practice that is harmful or detrimental to the public. Vander Wilt's CPA certificate is immediately SURRENDERED. He shall not file an application seeking reissuance of a CPA certificate in lowa.

Architectural Examining Board

ARE Update: Exams taken before 2006 expiring soon

This July marks the fifth year since the June 2009 update to the Rolling Clock, which means that any divisions passed before January 1, 2006 will begin to expire unless a candidate has passed all divisions of the ARE by July 1, 2014.

Act Now and Complete Your ARE

If you have ARE 4.0 division credits that will expire 1 July 2014, act now by planning and preparing to complete the ARE. Develop a plan to complete each outstanding division before your previous credits expire.

How do I know when my divisions or division credits will expire?

Log on to My NCARB and review your Rolling Clock history in My Examination. Any examination taken after 1996—the start of computer-based testing—is visible within My Examination and identifies the date the division or division credit will expire. Exams taken prior to computer-based testing are not tracked within My Examination.

What if I completed divisions prior to computer-based testing?

Those exam divisions or any ARE 4.0 credit built from one of those divisions will expire 1 July 2014. If you have kept your exam eligibilities active since 1996, your My Examination will correctly reflect the remaining divisions you must complete prior to 1 July 2014. To view these open eligibilities, go to the "Schedule" portion of My Examination.

What do I do if my previous eligibilities have lapsed?

You will need to contact your registration board (or NCARB if your board participates in the Direct Registration program) to have your eligibilities reopened under the Board's now current policies.

Why was the Rolling Clock originally enacted?

Prior to 2006, several jurisdictions had some form of a rolling clock in place, but there was no uniform standard. Member Boards decided that requiring the exam to be passed within a reasonable period better ensures that the ARE remains a valid measure of the level of competence necessary to independently practice architecture. A uniform standard also helped facilitate reciprocity among jurisdictions.

With questions, call NCARB Customer Service at 202-879-0520 or send an e-mail to customerservice@ncarb.org.

Architectural Examining Board Discipline

13-03 Cameron Campbell (05473) Ames, IA

The Board charged the Respondent with practicing architecture while his registration had lapsed. Respondent and the Board entered into a Combined Statement of Charges and Consent Order on July 9, 2013. Following is a summary of the terms of the Consent Order: Respondent shall pay a \$1,000 civil penalty no later than one hundred eighty days from the date the Board approves the signed order; Within sixty days from the date the Board approves the signed order, Respondent shall mail written notice to all clients for whom he performed architectural services in the state of Iowa on and after July 1, 2012 through January 31, 2013, shall recertify and reseal, as applicable, all documents certified or sealed while his registration was expired, and shall provide proof of compliance no later than sixty days after the date the Board approves the signed order.

13-04 Brian Gotwals (06067) Iowa City, IA

The Board charged the Respondent with practicing architecture while his registration had lapsed. Respondent and the Board entered into a Combined Statement of Charges and Consent Order on September 10, 2013. Following is a summary of the terms of the Consent Order: Respondent shall pay a \$1,000 civil penalty no later than one hundred eighty days from the date the Board approves the signed order; Within sixty days from the date the Board approves the signed order, Respondent shall mail written notice to all clients for whom he performed architectural services in the state of lowa on and after July 1, 2012 through January 24, 2013, shall recertify and reseal, as applicable, all documents certified or sealed while his registration was expired, and shall provide proof of compliance no later than sixty days after the date the Board approves the signed order.

13-07 Robert J. Davis (03525) Eden Prairie, MN

The Board charged the Respondent with practicing architecture while his registration had lapsed and using a seal information block that does not conform to lowa rule. Respondent and the Board entered into a Combined Statement of Charges and Consent Order on September 10, 2013. Following is a summary of the terms of the Consent Order: Respondent shall pay a \$1,000 civil penalty no later than thirty days from the date the Board approves the signed order; Within sixty days from the date the Board approves the signed order, Respondent shall mail written notice to all clients for whom he performed architectural services in the state of lowa on and after July 1, 2012 through February 20, 2013, shall recertify and reseal, as applicable, all documents certified or sealed while his registration was expired, and shall provide proof of compliance no later than sixty days after the date the Board approves the signed order.

Engineering & Land Surveying Examining Board

FE/FS Exams Transition to Computer Based Testing

In 2014, the NCEES administered Fundamentals of Engineering (FE) and Fundamentals of Surveying (FS) exams will be offered solely on computer. Traditional pencil-and-paper exams will be retired. To increase access to exams, all examinees will complete their exams at their local Pearson VUE testing center.

Pearson VUE testing centers use a patent-winning design that was created specifically for high-stakes testing. The centers offer a carefully controlled, consistent testing environment.

The NCEES state licensing boards voted in August 2010 to begin administering the two exams via computer-based testing at the recommendation of NCEES Computer-Based Testing Task Force. Some

of the reasons for converting the exams to a computer-based format are to allow greater scheduling flexibility for candidates, more uniformity in testing conditions, and enhanced security for exam content.

As of now, the transition to CBT in 2014 involves only the Fundamentals of Engineering (FE) and Fundamentals of Surveying (FS) exams. The FE exam is designed for college engineering seniors who intend to pursue a P.E. license. More than 50,000 examinees took the FE exam during the 2011–12 academic year, which included October and April administrations. The FS exam is a similar exam designed for those beginning the process toward professional surveying licensure.

The PE and PS exams, which engineering and surveying candidates are also required to take after completing work experience requirements, will transition to CBT in the future, but that date has not yet been determined. At the 2012 annual meeting, NCEES delegates voted to transition the PE and PS exams to computer-based testing "at the earliest feasible date." NCEES exam committees are researching when these exams could eventually be converted to CBT.

More information, including access to FAQs, is available at www.ncees.org.

Engineering and Land Surveying Examining Board Discipline 11-06 David DuChateau, PE of Brookfield, WI

The Iowa Engineering and Land Surveying Examining Board has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 542B(2009). Respondent and the Board entered into a Combined Statement of Charges and Consent Order on March 17, 2011.

The Board received the Respondent's renewal application in December 2010. Respondent reported continuing education he had previously used to reinstate his license in April 2010. As a result, he was 17.5 hours short of having the 30 professional development hours needed to renew.

Pursuant to 193C Iowa Administrative Code 3.4(10), a continuing education deficiency that would otherwise prevent renewal may be resolved through consent order. The parties agreed to resolve the Respondent's continuing education deficiency through consent order.

Following is a summary of the terms of the Consent Order: Respondent is reprimanded for attempting to renew without the required continuing education. Respondent shall satisfactorily complete no later than July 1, 2011, 17.5 hours of continuing education in accordance with Board rules, and shall provide proof of completion no later than July 15, 2011. This education shall not apply to any subsequent renewal application.

11-07 Robert A. Lonnemann, PE, of Crestview Hills, KY

The Iowa Engineering and Land Surveying Examining Board has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 542B(2009). Respondent and the Board entered into a Combined Statement of Charges and Consent Order on March 17, 2011.

The Board received the Respondent's renewal application in December 2010. Respondent provided verification of just 18.5 hours of qualifying continuing education, which, along with carryover hours, left him 2.5 hours short of the 30 professional development hours needed to renew.

Pursuant to 193C Iowa Administrative Code 3.4(10), a continuing education deficiency that would otherwise prevent renewal may be resolved through consent order. The parties agreed to resolve the Respondent's continuing education deficiency through consent order.

Following is a summary of the terms of the Consent Order: Respondent is reprimanded for attempting to renew without the required continuing education. Respondent shall satisfactorily complete no later than July 1, 2011, 5 hours of continuing education in accordance with Board rules, and shall provide proof of completion no later than July 15, 2011. This education shall not apply to any subsequent renewal application.

Interior Design Board

Governor Branstad Confirms Appointments to Interior Design Board

In the spring Governor Branstad's office confirmed the appointment of Julie Quebe, Interior Designer as a professional member to the Iowa Interior Design Examining Board. Ms. Quebe is from Cedar Rapids. Also confirmed was Kevin Clark as a public member of the Board. Kevin is from Des Moines. We welcome them both to the Board.





Julie Quebe

Kevin Clark

Current Board Roster:

Dorothy Fowles, FASID, Interior Designer, Chair Kathy Erion, Interior Designer, Vice-chair Sara Swaim Herman, Interior Designer Serena Zwanziger, Interior Designer Julie Quebe, Interior Designer Tom Triplett, Public Member Kevin Clark, Public Member

Landscape Architectural Examining Board

Governor Branstad Confirms Appointments/Reappointments to Landscape Architectural Board



Erica Andersen

In the spring Governor Branstad's office confirmed the appointment of Erica Andersen as a public member to the Iowa Landscape Architectural Examining Board. Ms. Andersen is from West Des Moines and we welcome her to the Board. Reappointed to the Board is Chris Seeger, LA, of Boone.

Current Board Roster:

Mark Ripplinger, LA, Chair Laura Hawks, LA, Vice-chair Chris Seeger, LA Tim Adams, LA David Fjare, LA Erica Andersen, public member Catherine Huggins, public member

Real Estate Commission

Greetings from the Chair Susan Sanders

We are happy to bring you this reinstated newsletter with updates from the Iowa Real Estate Commission. You'll find valuable information to help you with your business (and yes, you will find a summary of discipline!).

2013 has been eventful at the Commission, with staffing changes and a change of location. Do note that the office is now at 200 East Grand, Suite 350, Des Moines, IA 50309.

As we close out the year, I know agents are scrambling to complete continuing education classes for renewal. Please remember that you must COMPLETE the course prior to renewing, and keep the record of your credits, in case your file is audited.

And of course, it is errors and omissions insurance renewal time. To reiterate, E & O must be paid PRIOR TO January 1. Be sure to keep a copy of your receipt and certificate if you apply online, and any documentation provided if you pay by phone or by mail. *Uninterrupted* E & O insurance is required. A gap in coverage can result in a \$1,000 fine for a first offense, plus it leaves you with exposure in the event of a claim. Take the time today to renew!

While your Commissioners are not able to discuss specific disciplinary cases, we are available to speak about the Commission's role in regulating the industry and always open to discussing your concerns.

Real Estate Commission Discipline

Signed settlement agreements may be viewed on Commission's website.

License Revoked or Voluntary Surrendered

13-168 Bobbi Jo Wojewoda (B35874000), Grimes, IA - Voluntary Surrender of License

The Commission charged Wojewoda, a broker, with Count I: Having been convicted of a felony criminal offense and/or a criminal offense involving forgery, embezzlement, obtaining money under false pretenses, theft, arson, extortion, conspiracy to defraud, or other similar offense, any offense involving moral turpitude, or other offense involving a criminal breach of fiduciary duty in a court of competent jurisdiction in this state, or in any other state, territory, or district of the United States in violation of lowa Code sections 543B.29(1)(f) (2013). See also lowa Code § 272C.10(5) (2013); lowa Code § 543B.15(3) (2013); 193E lowa Admin. Code §§ 18.2(1) & (4).

Wojewoda's license surrender shall be for an indefinite period of time and the Commission shall not grant an application for reinstatement until all terms of the sentencing has been fully satisfied. Wojewoda further acknowledges that Iowa Code § 543B.15(3) (2013) prohibits her from applying for an Iowa Real Estate license until five (5) years after the she has successfully satisfied any applicable period of incarceration, payment of all fines, and/or fulfillment of any other type of sentence pursuant to United States of America v. Bobbi Jo Wojewoda, Criminal No. 4:12-cr-00057, that she must qualify as a salesperson starting over as if never licensed, and that reapplication may or may not be granted by the Commission. Reinstatement of her license shall be governed by 193 Iowa Admin Code section 18.5.

<u>Conviction of a Felony or Offense Involving Forgery, Embezzlement, Obtaining Money Under False Pretenses,</u> Theft, Arson, Extortion, Conspiracy to Defraud, Moral Turpitude, or Criminal Breach of Fiduciary Duty

13-005 Jon M. Salvador (B34663000), Burlington, IA - Informal Settlement

The Commission charged Salvador, a Broker, with Count I: Having been convicted of a criminal offense under lowa Code section 543B.29(1)(f) (2011). See also lowa Code § 272C.10(5) (2011); lowa Code § 543B.15(3) (2011); and 193E lowa Admin. Code §§ 18.2(1) & (4).

Salvador agreed to resolve the charge with a Settlement Agreement. He was also ordered to attend the eight (8) hour course "Office Administration and the twelve (12) hour "Developing Professionalism and Ethical Practices" course within twelve (12) months of acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

<u>Engaging in Practices Harmful or Detrimental to the Public and Failing to Diligently Exercise Reasonable Skill and Care in Providing Brokerage Services</u>

13-092 William C. Stoen (B41386000), Decorah, IA - Informal Settlement

The Commission charged Stoen, a Broker, with Count I: Engaging in practices harmful or detrimental to the public, and failing to diligently exercise reasonable skill and care in providing brokerage services to all parties in violation of Iowa Code section 543B.29(1)(c), 543B.34, 543B.56(1)(a) & (b) (2013), and 193E Iowa Admin. Code 18.14(5)(s) by allowing occupancy of a property to the buyers without a funded closing and without the express written consent of the sellers.

Stoen agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within thirty (30) days of acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

Practicing Real Estate While License is Lapsed, Inactive or Expired and Misleading or Deceptive Advertising

13-087 Kevin J. McRoberts (B05550000), Des Moines, IA - Informal Settlement

The Commission charged McRoberts, a Broker, with Count I: Engaging in a practice that is harmful or detrimental to the public by practicing real estate while his license was inactive, lapsed, or expired in violation of lowa Code sections 543B.1, 543B.29(1) (2013), and 193E lowa Administrative Code sections 18.2(5), 18.14(5)(a).

The Commission charged McRoberts, A Broker, with Count II: Misleading advertising in violation of Iowa Code chapter 543B.34(1) (2013); and 193E Iowa Administrative Code sections 10.1, 10.1(2), 10.1(3), and 18.14(5)(s).

McRoberts agreed to resolve the charges (Count I & II) with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of \$1,500 within one hundred and eighty (180) days of acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

Misleading or Deceptive Advertising

12-390 Marcus & Millichap R.E. Invest. Services of Chicago, Inc. (F04995000), Cedar Rapids, IA - Informal Settlement

The Commission charged Marcus & Millichap R.E. Invest. Services of Chicago, Inc., a real estate firm, with Count I: Misleading or deceptive advertising in violation of Iowa Code chapter 543B.34(1); and 193E Iowa Admin. Code chapter 10.1 (543B), 10.1(2), 10.1(3) and 18.14(5)(s).

Marcus & Millichap R.E. Invest. Services of Chicago, Inc. agreed to resolve the charge with a Settlement Agreement. They were ordered to pay a civil penalty in the amount of \$500 within thirty (30) days of acceptance of the Agreement by the Commission. They also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

Trust Account Violations

13-122 Kurt W. Rasmus (B39807000), Cherokee, IA – Informal Settlement

Rasmus agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of \$500 within thirty (30) days of acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

Advertising Under an Unlicensed Tradename

- **12-355 Mark A. Burke (B30485000), Cresco, IA** \$500 Civil Penalty
- **12-379 Richard E. Hayes (B04603000), Clear Lake, IA** \$500 Civil Penalty
- **13-015 Brenda S. Nabholz (B29251000), Independence, IA** \$500 Civil Penalty
- 13-031 Carl Wuestehube (B60713000), Dana Point, CA \$500 Civil Penalty

Failing to Comply with the Mandatory Errors and Omissions Insurance Requirement

All licensees disciplined for E & O insurance violations were active at the time of the infraction.

- 12-156 Tammy J. Shepherd (S61073000), West Des Moines, IA \$1,000 Civil Penalty
- **12-354 Jennifer L. Drewelow (\$58983000), Waterloo, IA** \$1,000 Civil Penalty
- **12-391 Sharon K. Mathis (B25303000), Grinnell, IA** \$1,000 Civil Penalty
- 12-397 Nicholas Hamaty, Jr. (B45047000), Delano, MN \$1,000 Civil Penalty
- **13-021 BF Enterprises, Inc. (F04990000), Reinbeck, IA -** \$1,000 Civil Penalty
- 13-034 Darren D. White (S61402000), Dubuque, IA \$1,000 Civil Penalty
- 13-053 Land Vista, LLC (F05448000), Northfield, MN \$1,000 Civil Penalty
- 13-067 Real Property Specialist Group, LLC (F05200000), Cedar Rapids, IA \$1,000 Civil Penalty
- 13-069 Andrea M. Scott (S61345000), Humboldt, IA \$1,000 Civil Penalty
- 13-070 Brian P. Hopf (S61347000), Omaha, NE \$1,000 Civil Penalty
- **13-071 Edith L. Hines (B17739000), Des Moines, IA** \$1,000 Civil Penalty
- **13-081 Angela J. Pfannkuch (\$57913000), West Des Moines, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- 13-084 Leria Bray (\$58854000), Omaha, NE \$1,000 Civil Penalty
- **13-098 Jacob Holtorf (S61253000), Adel, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-099 Jane D. Sloan (S37361000), Hiawatha, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-100 Steve L. Stephens (B17071000), Davenport, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-130** Amy Traver (\$59045000), Sioux City, IA \$1,000 Civil Penalty
- 13-133 Kevin L. Kilburg (\$60957000), Maquoketa, IA \$1,000 Civil Penalty
- **13-135 Joseph G. Ott (S35233000), Davenport, IA** \$1,000 Civil Penalty
- 13-141 Angela Mann (\$59721000), Cedar Rapids, IA \$1,000 Civil Penalty

- **13-143 David M. Happe (B33254000), Ames, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-147 Patricia A. Crooks (B56044000), Nashua, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-159 John D. Nagle(B59428000) Eden Prairie, MN** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-162 Ruth Dahlhauser (S42258000) Whittemore, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-164 Jesse Olson (S61115000), Joice, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-165 Cassidy N. Allen (S60088000), Storm Lake, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- 13-167 James R. O'Sell (B05848000), Dolliver, IA \$1,000 Civil Penalty
- 13-172 Stephanie A. Eenhuis (S41023000), Iowa City, IA \$1,000 Civil Penalty
- 13-175 Ronald A. Nezerka (B27071000), Cedar Rapids, IA \$1,000 Civil Penalty
- **13-179 Noni McGee (S35142000), Knoxville, IA** \$1,000 Civil Penalty
- **13-193 Jayson D. Skelton (\$56951000) Ankeny, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license

Failing to Comply with the Mandatory Errors and Omissions Insurance Requirement (2nd Offense)

13-062 Benjamin Kinseth (S56454000) of Iowa City, IA - \$2,000 Civil Penalty

<u>Failing to Comply with Continuing Education Requirement and Causing to be Submitted, Whether Intentional or Otherwise, Incorrect Information on a Renewal Application</u>

- **13-049 John L. Smith S38667000), DeWitt, IA** \$500 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **13-178 David A. Armstrong (S58801000), Muscatine, IA** \$500 Civil Penalty
- 13-195 Jeffrey L. Rogers (\$41301000), West Des Moines, IA \$500 Civil Penalty

Causing to be Submitted, Whether Intentional or Otherwise, Incorrect Information on a Renewal Application

13-182 D. Stephen Anderson (B21041000), Council Bluffs, IA - \$250 Civil Penalty

Failing to Fully Disclose Criminal History on an Application

- 13-146 Dominic W. Goodmann IV (\$62301000), Dubuque, IA \$500 Civil Penalty
- **13-192** Lucky Lang II (S62276000), Davenport, IA \$500 Civil Penalty
- **13-194 John F. Farrell (S62261000)** \$500 Civil Penalty
- 13-199 Lisa J. Murphy (S62277000), Sioux City, IA \$500 Civil Penalty
- 13-218 Brook Doheny (S62356000, Des Moines, IA \$500 Civil Penalty
- 13-220 Joseph Beedon (\$62340000), West Des Moines, IA \$500 Civil Penalty

Real Estate Appraiser Examining Board

Continuing Education Audits

In compliance with the Appraisal Subcommittee policy statements, the Iowa Appraiser Examining Board is required to conduct random continuing education audits within 60 days of the close of our renewal cycle. Out of the 13% selected for the audit, all were found compliant with the required continuing education.

Associate Appraisers Education

Associate Appraisers who initially registered with the Board PRIOR to July 1, 2008, must have ALL qualifying education done as a prerequisite for all future renewals. You have been notified of this by email and postal mail in the past. For complete details you may review the <u>letter</u> on our website.

Your Appraiser Education is Your Responsibility

Remember It is YOUR responsibility to ensure that the continuing education courses you take are approved by the Iowa Real Estate Appraiser Examining Board. A complete list of approved Iowa appraiser courses can be found at our website: www.state.ia.us/iapp. If a course has been approved by the AQB's CAP program it is automatically accepted. If a course is approved by the appraiser board in the state which the course was taken it will also be accepted in Iowa. If it is not approved by any of these sources, you must apply for pre-approval or post-approval credit as outlined in Administrative Rules 193F-11.11. These rules can be found on a link from our website: www.state.ia.us/iapp.

Tidbits you may have missed

- Our office recently moved. We are now located at 200 E. Grand, Suite 350, Des Moines, Iowa 50309.
- Effective January 4, 2012, the 7 hour report writing course is no longer a mandatory course for renewals.
- Effective April 10, 2013, there is no longer a maximum number of distance education hours for renewals. All 28 hours may now be online.
- July 1, 2013, the Board was given statutory authority to conduct background checks. This will allow the Board to maintain compliance with the upcoming AQB criteria changes. The Board has not promulgated rules on this yet.
- The 2014-2015 edition of USPAP is now available for purchase. You may order your hard or electronic copy directly from The Appraisal Foundation's website:

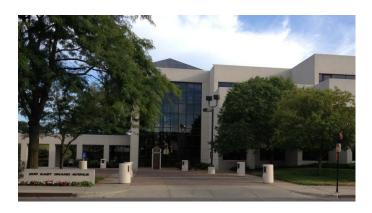
Real Estate Appraiser Examining Board Discipline

To view all of the Board's previous disciplinary matters, or an entire consent order, please see the board's <u>disciplinary index</u>.

13-17 William Lowe, CR 01365

The Board received a complaint alleging that Lowe appraised a commercial property, although he is only certified to perform residential appraisals, as defined in Iowa Administrative Code 193F. The Board found

probable cause to charge Lowe with performing appraisal services outside the authorized scope of a certified residential appraiser's practice. Lowe, CR01365, was reprimanded for practicing outside the scope of his residential certification. Because this is the second disciplinary action for the same offense, Lowe was cautioned that any additional violation of this nature may result in suspension of his certificate. Lowe paid a civil penalty of \$1000 and was required to complete at least a 4 hour course in appraisal ethics, providing a course completion certificate.



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